

Substitute for Form 1449/A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet	1
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of 2

Complete if Known

Application Number	09/980,421
Filing Date	November 30,2001
First Named Inventor	JOHN D. PUSKAS
Group Art Unit	Not yet assigned
Examiner Name	Not yet assigned
Attorney Docket Number	45044-267442(16294-0141)

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
DDG ↓		5,117,828		Metzger, et al.	06/02/1992	
		5,156,151		Imran	10/20/1992	
		5,205,285		Baker, Jr.	04/27/1993	
		5,282,468		Klepinski	02/01/1994	
		5,304,120		Crandell, et al.	04/19/1994	
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		5,456,254		Pietroski, et al.	10/10/1995	
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		5,668,117		Shapiro	09/19/1997	
		5,690,681		Geddes, et al.	11/25/1997	
		5,840,076		Swanson, et al.	11/24/1998	
		5,889,033		Kaminski	03/30/1999	
		5,913,876		Taylor, et al.	06/22/1999	
		6,043,273		Duhaylongsod	03/08/2000	
		6,060,454		Duhaylongsod	05/09/2000	
		6,087,394		Duhaylongsod	07/11/2000	
		6,101,412		Duhaylongsod	08/08/2000	
	6,127,410		Duhaylongsod	10/03/2000		
	6,141,589		Duhaylongsod	10/31/2000		

FOREIGN PATENT DOCUMENTS

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Hana D. Greene

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¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent document, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached.

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 U.S. PATENT & TRADEMARK OFFICE

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		First Named Inventor	JOHN D. PUSKAS
		Group Art Unit	Not yet assigned
		Examiner Name	Not yet assigned
		Attorney Docket Number	45044-267442
Sheet	2	of	2

OTHER INFORMATION - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
DXG		Bufkin, et al., "Controlled Intermittent Asystole: Pharmacologic Potentiation of Vagal-Induced Asystole," <i>Ann. Thorac. Surg.</i> , 1998;66: pp. 1185-90	
		DiPiro, et al., "Pharmacotherapy: A Pathophysiologic Approach;" Elsevier, New York; pp. 153-157, (1989)	
		Matheny, et al., "Vagus Nerve Stimulation as a Method to Temporarily Slow or Arrest the Heart," <i>Ann. Thorac. Surg.</i> , 1997;63: pp. 528-9	
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		Taylor, Palmer, "Anticholinesterase Agents" in Goodman and Gilman's <i>The Pharmacological Basis of Therapeutics</i> (6 th Ed.), MacMillan Publishing Co., Inc., New York, pp. 104-108 (1980)	
		Thompson, et al., "Bradycardia Induced by Intravascular Versus Direct Stimulation of the Vagus Nerve," <i>Ann. Thorac. Surg.</i> , 1998;65: 637-42	

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Examiner Signature	<i>Dana D. Keene</i>	Date Considered	10-31-05
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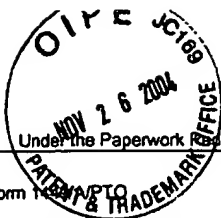
¹Unique citation designation number. ²Applicant is to place a check mark here if English language translation is attached.

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Substitute for Form 1449/PTO <h2 style="text-align: center;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</h2> <p style="text-align: center;">(use as many sheets as necessary)</p>		<h3 style="text-align: center;">Complete if Known</h3> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td>09/980,421</td> </tr> <tr> <td>Filing Date</td> <td>April 23, 2002</td> </tr> <tr> <td>First Named Inventor</td> <td>JOHN D. PUSKAS</td> </tr> <tr> <td>Group Art Unit</td> <td>3762</td> </tr> <tr> <td>Examiner Name</td> <td>Dana D. Greene</td> </tr> <tr> <td>Attorney Docket Number</td> <td>16294-0141 (52433-267442)</td> </tr> </table>		Application Number	09/980,421	Filing Date	April 23, 2002	First Named Inventor	JOHN D. PUSKAS	Group Art Unit	3762	Examiner Name	Dana D. Greene	Attorney Docket Number	16294-0141 (52433-267442)
Application Number	09/980,421														
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First Named Inventor	JOHN D. PUSKAS														
Group Art Unit	3762														
Examiner Name	Dana D. Greene														
Attorney Docket Number	16294-0141 (52433-267442)														
Sheet	1	of	2												

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		Number	Kind Code ² (if known)			
DDG	1	4,351,330		Scarberry	09-28-1982	
	2	5,263,480		Wernicke	11-23-1993	
	3	5,330,507		Schwartz	07-19-1994	
	4	5,403,356		Hill et al.	04-04-1995	
	5	5,713,924		Min et al.	02-03-1998	
	6	5,713,929		Hess et al.	02-03-1998	
	7	5,782,874		Loos	07-21-1998	
	8	5,791,187		Adams	08-11-1998	
	9	5,846,263		Peterson et al.	12-08-1998	
	10	5,893,882		Peterson et al.	04-13-1999	
	11	6,006,134		Hill et al.	12-21-1999	
	12	6,043,273		Duhaylongsod	03-28-2000	
	13	6,060,454		Duhaylongsod	05-09-2000	
	14	6,087,394		Duhaylongsod	07-11-2000	
	15	6,091,988		Warman et al.	07-18-2000	
	16	6,141,589		Duhaylongsod	10-31-2000	
	17	6,185,459		Mehra et al.	02-06-2001	
	18	6,266,564		Hill et al.	07-24-2001	
	19	6,272,380		Warman et al.	08-07-2001	
	20	6,414,018		Duhaylongsod	07-02-2002	
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	22	6,449,507		Hill et al.	09-10-2002	
	23	6,487,446		Hill et al.	11-16-2002	
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	25	6,542,774		Hill et al.	04-01-2003	
	26	6,554,781		Carter et al.	04-29-2003	
	27	6,628,987		Hill et al.	09-30-2003	
	28	2003/0216790		Hill et al.	11-20-2003	
	29	2003/0216775		Hill et al.	11-20-2003	
	30	6,690,973		Hill et al.	02-10-2004	
	31	6,718,208		Hill et al.	04-06-2004	
	32	6,735,471		Hill et al.	05-11-2004	
	33	6,738,667		Deno et al.	05-18-2004	
✓	34	2004/0199209		Hill et al.	10-07-2004	

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent document, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached.



Substitute for Form 1001 PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete if Known	
		Application Number	09/980,421
		Filing Date	April 23, 2002
		First Named Inventor	JOHN D. PUSKAS
		Group Art Unit	3762
		Examiner Name	Dana D. Greene
		Attorney Docket Number	16294-0141 (52433-267442)
Sheet	2	of	2

OTHER INFORMATION - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No.¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T²
DDG	35	Hageman, G.R., <i>et al.</i> , "Direct and Reflex Cardiac Bradycardias From Small Vagal Nerve Stimulations," <i>Am. Heart J.</i> , Vol. 89, No. 3, pp. 338-348 (1975) (Abstract Only)	
	38	Nobrega, <i>et al.</i> , "Resting and Reflex Heart Rate Responses During Cholinergic Stimulation With Pyridostigmine in Humans," <i>Brazilian J. Med. Biol. Res.</i> , Vol. 29, No. 11, pp. 1461-1465 (1996) (Abstract Only)	
	37	Okazawa, M., <i>et al.</i> , "Effect of Vagal Stimulations and Parenteral Acetylcholine on Canine Trachealis Muscle Shortening," <i>J. Appl. Physiol.</i> , Vol. 75, No. 6, pp. 2463-2468 (1992) (Abstract Only)	
	38	Urthaler, James F., "Experimental Studies on the Pathogenesis of Asystole After Verapamil in the Dog," <i>Am. J. Cardiol.</i> , Vol 44, No. 4, pp.651-656 (1979) (Abstract Only)	

Examiner Signature	<i>Dana D. Greene</i>	Date Considered	<i>10-31-05</i>
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¹Unique citation designation number. ²Applicant is to place a check mark here if English language translation is attached.

Approved for use through 10/31/2002 OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for Form 1449/APTO

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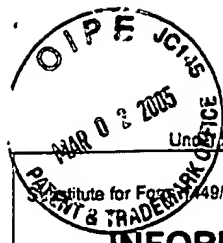
Complete if Known

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Group Art Unit	3762
Examiner Name	Dana D. Greene
Attorney Docket Number	16294-0141 (52433-267442)

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10-31-05

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Institute for Form 449/A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete if Known

Application Number	09/980,421
Filing Date	April 23, 2002
First Named Inventor	JOHN D. PUSKAS
Group Art Unit	3762
Examiner Name	Dana D. Greene
Attorney Docket Number	16294-0141 (52433-267442)

Sheet 1 of 4

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
DDG	1	4,304,239		Perlin	12-08-1981	
	2	4,574,807		Hewson et al.	03-11-1986	
	3	4,640,298		Pless et al.	02-03-1987	
	4	4,671,295		Abrams et al.	06-09-1987	
	5	4,722,347		Abrams et al.	02-02-1988	
	6	4,753,244		Landymore et al.	06-28-1988	
	7	4,960,133		Hewson	10-02-1990	
	8	5,014,698		Cohen	05-14-1991	
	9	5,024,228		Goldstone et al.	06-18-1991	
	10	5,044,367		Endres et al.	09-03-1991	
	11	5,052,390		Hewson	10-01-1991	
	12	5,056,519		Vince	10-15-1991	
	13	5,056,532		Hull et al.	10-15-1991	
	14	5,125,406		Goldstone et al.	06-30-1992	
	15	5,127,407		Tan	07-07-1992	
	16	5,129,392		Bardy et al.	07-14-1992	
	17	5,156,149		Hudrlik	10-20-1992	
	18	5,199,428		Obel et al.	04-06-1993	
	19	5,203,326		Collins	04-20-1993	
	20	5,243,980		Mehra	09-14-1993	
	21	5,265,603		Hudrlik	11-30-1993	
	22	5,284,146		Czar et al.	02-08-1994	
	23	5,292,338		Bardy et al.	03-08-1994	
	24	5,334,221		Bardy	08-12-1994	
	25	5,354,318		Taepke	10-11-1994	
	26	5,356,425		Bardy et al.	10-18-1994	
	27	5,411,529		Hudrlik	05-02-1995	
	28	5,417,713		Cohen	05-23-1995	
	29	5,501,703		Holsheimer et al.	03-26-1996	
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	31	5,540,730		Terry, Jr. et al.	07-30-1996	
	32	5,540,732		Testerman	07-30-1996	
	33	5,549,655		Erickson	08-27-1996	
✓	34	5,571,150		Wernicke et al.	11-05-1996	

Examiner
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**INFORMATION DISCLOSURE
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Substitute Form 1449-A/PTO

Sheet	2	of	4
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Complete if Known

Application Number	09/980,421
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U.S. PATENT DOCUMENTS

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		Office ³	Number ⁴	Kind Code ⁵ <i>(if known)</i>				
DG	40	DE	2811325			09-27-1979		
	41	EP	0589252			03-03-1994		
	42	WO	92/11064			07-09-1992		
	43	WO	97/40885			11-06-1997		
	44	WO	99/09971			03-04-1999		
	45	WO	99/09973			03-04-1999		
	46	WO	01/00273			01-04-2001		

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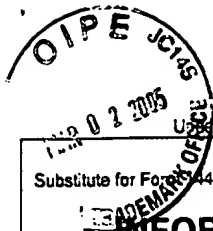
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DDG	47	Annegers, A.F., <i>et al.</i> , "Epilepsy, Vagal Nerve Stimulation by the NCP System, All-Cause Mortality, and Sudden, Unexpected, Unexplained Death," <i>Epilepsia</i> , Vol. 41, No. 5, pp. 549-553 (2000)	
	48	Barwell, J., <i>et al.</i> , "The NIM-2 Nerve Integrity Monitor in Thyroid and Parathyroid Surgery," <i>British Journal of Surgery</i> , Vol. 84, No. 854, p. 854 (1997)	
	49	Ben-Menachem, E., <i>et al.</i> , "Vagus Nerve Stimulation for Treatment of Partial Seizures: 1. A Controlled Study of Effect on Seizures," <i>Epilepsia</i> , Vol. 35, No.3, pp. 616-626 (1994)	
	50	Bilgutay, A., <i>et al.</i> , "Vagal Tuning: A New Concept in the Treatment of Supraventricular Arrhythmias, Angina Pectoris, and Heart Failure," <i>Journal of Thoracic and Cardiovascular Surgery</i> , Vol. 56, No. 1, pp. 71-82 (1968)	
	51	Bluemel, K.M., <i>et al.</i> , "Parasympathetic Postganglionic Pathways to the Sinoatrial Node," American Physiological Society, pp. H1504-H1510 (1990)	
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	53	Carlson, M. <i>et al.</i> , "Selective Stimulation of Parasympathetic Nerve Fibers to the Human Sinoatrial Node," <i>Circulation</i> , Vol. 85, No. 4, pp. 1311-1317 (1992)	
	54	Cooper, T., <i>et al.</i> , "Neural Effects on Sinus Rate and Atrioventricular Conduction Produced by Electrical Stimulation from a Transvenous Electrode Catheter in the Canine Right Pulmonary Artery," <i>Circulation Research</i> , Vol. 46, No. 1, pp. 48-57 (1980)	
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Examiner Signature	Dana D. Greene	Date Considered	10-31-05
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Sheet 4 of 4

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DDG	58	Jalife, J. <i>et al.</i> , "Desensitization of the Cholinergic Receptor at the Sinoatrial Cell of the Kitten," <i>American Physiological Society</i> , pp. H439-H448 (1980)	
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	61	Loeb, J., <i>et al.</i> , "Sensitivity Differences of SA and AV Node to Vagal Stimulation: Attenuation of Vagal Effects at SA Node," <i>American Physiological Society</i> , pp. H684-H690 (1981)	
	62	Maloney, R., <i>et al.</i> , "A New Method for Intraoperative Recurrent Laryngeal Nerve Monitoring," <i>ENT Journal</i> , Vol. 73, No.1, pp. 30-33 (1994)	
	63	Martin, P., <i>et al.</i> , "Fade of Cardiac Responses During Tonic Vagal Stimulation," <i>American Physiological Society</i> , pp. H219-H225 (1982)	
	64	Matheny, R., "Experiences in Minimally Invasive Surgery-Techniques of Stabilization," presented at the Minneapolis Heart Institute Foundation, June 19-21, 1997.	
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	66	Ramsay, R., <i>et al.</i> , "Vagus Nerve Stimulation for Treatment of Partial Seizures: 2. Safety, Side Effects, and Tolerability," <i>Epilepsia</i> , Vol. 35, No.3, pp. 627-636 (1994)	
	67	Randall, W.C., <i>et al.</i> , "Functional Anatomy of the Cardiac Efferent Innervation," <i>Neurocardiology</i> , pp. 3-24 (1988)	
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	69	Severtson, M., <i>et al.</i> , "Vagal Monitoring: A Comparison of Techniques in a Canine Model," <i>American Journal of Otolaryngology</i> , Vol. 18, pp. 398-400 (1997)	
	70	Upton, A., Editorial, <i>PACE</i> , Vol. 15, Part II, pp. 1543-1544 (1992)	
	71	Wilder, B.J., <i>et al.</i> , "Vagus Nerve Stimulation for the Control of Epilepsy," <i>Epilepsia</i> , Vol. 31, Supp. 2, pp. S1-S60 (1990)	

Examiner
Signature

Dana D. Greene

Date
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¹Unique citation designation number. ²Applicant is to place a check mark here if English language translation is attached.